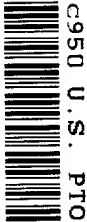


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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
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STUART N. SENNIGER  
(1921-1997)

October 5, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: 985401/23401  
First Named Inventor: Vladimir V. Vornokov et al.  
Title: METHOD FOR THE PRODUCTION OF LOW DEFECT DENSITY SILICON  
Express Mail Label Number: EL 910281092 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 37]
4. ☒ Drawings [Total Sheets 3]
5. Oath or Declaration [Total Pages     ]
  - a. ☐ Newly executed (original or copy)  
☒ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

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09/972608



10/05/01

6. ☐ Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

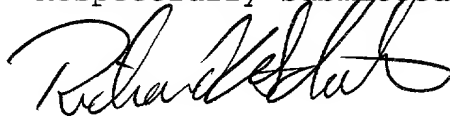
19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: \_\_\_\_\_
- ☒ Complete Application based on provisional application Serial No. 60/252,715, filed November 22, 2000 and also claims the benefit of provisional application Serial No. 60/245,610, filed November 3, 2000.

Prior application information: Examiner: Unknown  
Group Art Unit: Unknown

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Richard A. Schuth

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard A. Schuth". The signature is fluid and cursive, with the first name "Richard" being more prominent.

Richard A. Schuth, Reg. No. 47,929

RAS/msc

**FEE TRANSMITTAL**

Application Number (To be Assigned)  
Filing Date October 5, 2001  
Inventor(s) Vladimir V. Voronkov, et al.  
Attorney Docket Number 985401/23401

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.  
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.  
☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$ 740.00  
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 450.00  
Total Claims 45  
Independent Claims 3  
Multiple Dependent Claims 0
3. ☐ ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_  
☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 1,190.00



Richard A. Schuth, Reg. No. 47,929

October 5, 2001  
Date

RAS/msc

\*Enclosure

Express Mail Label No. EL 910281092 US